UNITED STATES PATENT AND TRADEMARK OFFICE

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09/651,425	08/30/2000	Christopher Songer	003048.P008	2566

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CHRISTIE, PARKER & HALE, LLP
350 WEST COLORADO BOULEVARD
SUITE 500
PASADENA, CA 91105

EXAMINER
VU, TUAN A

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14

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

> A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) FROM
> THE MAILING DATE OF THIS COMMUNICATION.
> - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed
>   after SIX (6) MONTHS from the mailing date of this communication.
> - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
> - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
> - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
>   Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any
>   earned patent term adjustment. See 37 CFR 1.704(b).

Status

1) ☒ Responsive to communication(s) filed on <u>12 January 2004</u>.
2a) ☐ This action is **FINAL**.   2b) ☒ This action is non-final.
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is
   closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4) ☒ Claim(s) <u>1-44</u> is/are pending in the application.
   4a) Of the above claim(s) _____ is/are withdrawn from consideration.
5) ☐ Claim(s) _____ is/are allowed.
6) ☒ Claim(s) <u>1-44</u> is/are rejected.
7) ☐ Claim(s) _____ is/are objected to.
8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9) ☐ The specification is objected to by the Examiner.
10) ☐ The drawing(s) filed on _____ is/are: a)☐ accepted or b)☐ objected to by the Examiner.
    Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
    Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
    a)☐ All   b)☐ Some * c)☐ None of:
    1.☐ Certified copies of the priority documents have been received.
    2.☐ Certified copies of the priority documents have been received in Application No. _____.
    3.☐ Copies of the certified copies of the priority documents have been received in this National Stage
       application from the International Bureau (PCT Rule 17.2(a)).
    * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) ☒ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
   Paper No(s)/Mail Date _____.
4) ☐ Interview Summary (PTO-413)
   Paper No(s)/Mail Date. _____ .
5) ☐ Notice of Informal Patent Application (PTO-152)
6) ☐ Other: _____.

DETAILED ACTION

1.   This action is responsive to the Applicant's response filed 1/12/2004.

As indicated in Applicant's response, claims 1, 22, 43 and 44 have been amended.

Claims 1-44 are pending in the office action.

Claim Rejections - 35 USC § 103

2.   The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

3.   Claims 1-9, 19-30, and 41-44 are rejected under 35 U.S.C. 103(a) as being unpatentable

over Tseng et al., USPN: 6,009,256 (hereinafter Tseng), in view of Schlansker et al., USPN

6,408,428 (hereinafter Schlansker), and further in view of Kolchinsky et al., USPN: 5,535,406

(hereinafter Kolchinsky).

As per claim 1, Tseng discloses a method of creating run time executable code,

comprising:

partitioning a processing element (PE) array into a plurality of hardware accelerators (e.g.

col. 1, lines 45-59; FPGA *chip*, col. 10, lines 2-22; col. 12, lines 45-55 – Note: array of chips on

to be reconfigured into circuits is equivalent to array of PEs being partitioned in plurality of

hardware accelerators)

decomposing a program source code (e.g. col. 58, line 62 to col. 59, line 50; Fig. 4, 26,

28; *Verilog, VHDL, parsing process, compiler 210* - col. 15, line 62 to col. 16, 38 - Note:

language that is programmed from human readable format then parsed and compiled into

machine readable constructs are source program code, e.g. Verilog and VHDL) into a plurality of

kernel sections (e.g. *code component* -col. 13, lines 27-36; *HDL code description, component*--

col. 11, lines 47- 64; *RTL level ... signals* – col. 60, lines 53-62; Fig. 29 – Note: HDL code

component analysis is equivalent to decomposing into kernel sections; steps *301, 302, 304, 310,*

Fig. 4)

(i) mapping said plurality of kernel sections into a plurality of hardware dependent model

entities representation language (e.g. *hardware model, gate level,* – col. 11, lines 47- 64; *RTL* -

col. 11, line 50 to col. 12, line 6; *hardware execution models* - col. 13, lines 36-40); and

generating a mapping between said hardware accelerators and said hardware dependent

model entities configured to support run time execution (e.g. steps *306, 307, 309* - Fig. 4 ; Fig. 6;

col. 19, lines 14-21; col. 21, lines 36-43) of the plurality of kernel sections (e.g. Fig. 25-30).

But Tseng does not explicitly disclose that the mapping in (i) is mapping of kernel

sections into a plurality of hardware dependent executable code for execution on a plurality of

hardware accelerators and that said hardware dependent executable code is to support runtime

execution of the kernel sections. However, Tseng discloses a programming language derived

from the hardware dependent model entities, e.g. gate level, for support of execution or

simulation/debug on said plurality of hardware accelerators (e.g. Fig. 25-30; col. 11, line 50 to

col. 12, line 6 – Note: RTL level signal used in program to effect signaling of a FPGA and

support emulation/simulation/debug of hardware circuit is equivalent to mapped kernel sections

code for executing hardware accelerators in FPGA). Mapping of design model specification

language into corresponding executable code was a known concept in the art of

software/hardware emulation/simulation and design at the time of the invention. Likewise,

Schlansker, in a method to find an optimum design for match hardware parameters and

performance requirements to a particular type of processors, i.e. to translate into processor

instruction code the corresponding parameterization specifics representing a hardware dependent

requirements analogous to the mapping of kernels to hardware RTL language by Tseng,

discloses mapping of instruction code sets via intermediate code against processor/hardware

parameters or kernel sections as claimed (e.g. *parametization, opsets, opgroups* - col. 9, line 24

to col. 10, line 32; *AIR* - col. 19, line 23 to col. 21, line 4; Fig. 12-15). It would have been

obvious for one of ordinary skill in the art at the time the invention was made to enhance the

intermediate gate level program code as taught by Tseng with the mapping of such code into

executable instruction groups as suggested by Schlansker, in case Tseng does not already include

one such mapping, because this would help create a corresponding set of executable that best

suits the hardware specification of the accelerators without extraneous use of resources, averting

thereby hardware architecture/code operation non-compliance as intended by Schlansker.

Nor does Tseng specify forming a matrix describing said hardware accelerators and said

hardware dependent designs configured to support run time execution. Tseng, however,

discloses mapping of hardware models or logic component to reconfigurable boards or circuit

(e.g. Fig. 6; col. 21, line 63 to col. 22, line 8; step *309* - Fig. 4) and optimizing functions in

interconnecting processing elements using a matrix (e.g. col. 25, line 49 to col. 26, line 15).

Likewise, Schlansker teaches corresponding of instruction sets to a particular processor (Fig. 1-

3, 14) and use of template and matrices to map instruction groups or templates against execution

constraints/parameters or data flow conditions (Fig. 17-20 – Note: execution conditions implies

machine specific execution, hardware dependency). Further, Kolchinsky, in a method for

processing applications using programmable logic device, e.g. FPGA, in executing a

multitasking kernel with logic design configuration and encoded hardware algorithm coupling

analogous to the hardware/software modeling and simulation process by Tseng, discloses using a

programmable logic matrix array for mapping execution operations to criteria of the hardware

control and configuration (e.g. col. 5, lines 35-65) to perform a specific algorithm. In view of

Tseng's suggesting of the use of connectivity matrix and mapping of software logic or models to

processing elements or circuits, it would have been obvious for one of ordinary skill in the art at

the time the invention was made to use the matrix array as suggested by Schlansker and taught

by Kolchinsky and apply it to the descriptively mapping of hardware modeled from software

components (designs as claimed) to the reconfigurable target circuits (accelerators as claimed) as

taught by Tseng to support execution of kernel sections. One of ordinary skill would be

motivated to do so because this would provide more visibility to the mapping process and added

flexibility, hence cost-efficiency, for modification or correction in general and specially when the

target architecture increases in complexity (e.g. Kolchinsky: col. 1, line 61 to col. 2, line 4).

As per claims 2 and 3, the combination Tseng/Schlansker further discloses partitioning

into digital signal processors (re claim 2) and into bins (re claim 3) (Tseng: *EAB, DSP* -- col.

51, lines 27-34 ; *cluster* – col. 22, line 46-57; Schlansker: instruction groups – Fig. 16)

As per claim 4, Tseng further discloses mapping includes mapping into multiple

hardware contexts (e.g. col. 22, line 12-45; Fig. 28 – Note: grouping by gate netlist/Register

Translation, or chip cluster logic/common clock is equivalent to mapping for multiple hardware

context).

As per claims 5 and 6, Tseng further discloses mapping (re claim 5) a first set of invariants (e.g. *FPGA component lib 361, logic function 359* – Fig. 6) and that said first set of variants are produced (re claim 6) based on resource usage (e.g. RTL *358*, Fig. 6; *combinational component 303*, Fig. 4 – Note: register allocation is equivalent to resource usage).

As per claim 7, Tseng further discloses mapping a second set of variants of said designs configured to support multiple hardware configurations of one of a plurality of bins (e.g. circuit *design components, gate netlist* – col. 22, lines 1-23).

As per claim 8, Tseng further discloses mapping is performed by a place and route (e.g. steps *352, 353, 354, 355* – Fig. 6).

As per claim 9, Tseng further discloses the decomposition step is performed manually (*user* -- e.g. col. 17, lines 13-17).

As per claim 19, Tseng further discloses that mapping includes creating context dependent configurations (e.g. col. 22, line 12-45; components *901, 903, 904*-Fig. 28 – Note: configuring by gate netlist/Register Translation language, or chip cluster logic/common clock context is equivalent to mapping for multiple context dependent configuration; code components are equivalent to code context configurations).

As per claims 20 and 21, Tseng does not explicitly teach that the matrix used in the mapping is sparely (re claim 20) or fully (re claim 21) populated; but discloses the connectivity matrix and mapping of FPGA circuits to hardware models (e.g. Fig. 7, 16; col. 25, line 49 to col. 26, line 15), the component type used for defining partial or generalized connectivity in the array of processing elements (e.g. col. 18,lines 20-41). Combining Tseng's teaching with Schlansker/Kolchinsky's use of a matrix to map design logic to configuration resources as

mentioned in claim 1, the limitation on such matrix being populated as claimed herein would

have been obvious because of the same rationale mentioned in claim 1; and also because Tseng's

component type analysis as mentioned above would imply sparsely or fully populating of the

matrix as mentioned in claim 1.

As per claim 22, this claim is a system claim corresponding to claim 1 above and

includes most of the limitations therein using Tseng's disclosure, namely:

plurality of hardware accelerators partitioned (e.g. col. 1, lines 45-59; FPGA *chip*, col.

10, lines 2-22; col. 12, lines 45-55);

plurality of kernel sections (e.g. *HDL language, code component* -col. 13, lines 27-36;

HDL code description, component-- col. 11, lines 47- 64)

created from a program source code (e.g. col. 58, line 62 to col. 59, line 50; Fig. 4, 26,

28; *Verilog, VHDL, parsing process, compiler 210* - col. 15, line 62 to col. 16, 38) for execution

on said plurality of hardware accelerators (e.g. Fig. 25-30);

plurality of hardware dependent model representation language (e.g. *hardware model,*

RTL, gate level, – col. 11, lines 47- 64; *hardware execution models* - col. 13, lines 36-40);

(1) mapping of accelerators and kernel designs for run time execution (e.g. steps *306,*

307, 309 - Fig. 4 ; Fig. 6; col. 19, lines 14-21; col. 21, lines 36-43); hence is rejected using the

corresponding rejection as applied in claim 1 above, using Tseng's teachings.

However, like in claim 1, Tseng does not explicitly teach that the model representation

language for said kernel sections are executable code for execution on said plurality of hardware

accelerators and that the mapping of hardware accelerators and such executable is configured to

support runtime execution. But this limitation has been addressed in claim 1 using Schlansker.

Nor does Tseng disclose a matrix used for said mapping in (1), but such matrix limitation

has been addressed by Tseng combined with Schlansker/Kolchinsky as mentioned in claim 1

above; and is herein rejected using the same rationale set forth therein.

As per claims 23-30, these claims are system claims corresponding to claims 2-9,

respectively; hence, are rejected using the corresponding rejections set forth therein, respectively.

As per claims 41-42, these claims are similar to claims 20-21 above, respectively; hence

are rejected herein using the same grounds set forth therein.

As per claim 43, this claim is a computer-readable medium version of claim 1, above

hence includes all the step limitations therein and is rejected herein using the same corresponding

rejections set forth therein.

As per claim 44, this claim is a system version of claim 1, above hence includes all the

step limitations therein and is rejected herein using the same corresponding rejections set forth

therein

4.   Claims 10-17, and 31-38 are rejected under 35 U.S.C. 103(a) as being unpatentable over

Tseng et al.,USPN: 6,009,256, in view of Schlansker et al., USPN 6,408,428, and Kolchinsky et

al., USPN: 5,535,406, as applied to claims 1 and 22 above, and further in view of Trimberger,

USPN: 5,752,035 (hereinafter Trimberger).

As per claim 10, Tseng does not specify that the decomposition step is performed by a

software profiler; but discloses deriving of gate combinational elements based on type analysis

(e.g. col. 18, lines 22-41; step 302, Fig. 4) for network array execution path differentiation; and

profiling functions to optimize the cost for placement of processing elements in the array (e.g.

col. 23, line 58 to col. 23, line 21; Fig. 6). Schlansker, in the method (re claim 1) to match an

instruction set for a particular accelerators against data flow conditions and parametric

requirements, discloses organizing instruction code into set and groups for evaluation against

operational statistics (e.g. Fig. 16-20 – Note: collection of statistics and metrics for performance

evaluation is equivalent to profiling) and Trimberger, in a method for compiling and executing

programs using re-programmable logic accelerator sets analogous to the FPGA accelerators used

in Tseng's method (combined with Schlansker/Kolchinsky) for simulation, discloses the use of

profiling to detect the most frequently executed code and replace it with programmable

instructions unit, or accelerator set (e.g. col. 6, lines 31-56). It would have been obvious for one

of ordinary skill in the art at the time the invention was made to use a profiler as suggested by

Schlansker and taught by Trimberger to detect optimizable portions of code for submission into

accelerator logic units as taught by Trimberger and combine it with the analysis and optimization

techniques above-mentioned by Tseng (combined with Schlansker/Kolchinsky) because this

would provide additional performance improvement of the program execution/simulation

performed in Tseng's invention (combined with Schlansker/Kolchinsky).

As per claim 11, Tseng discloses that the decomposing step includes executing code

from program source code and monitoring timing of said execution (e.g. col. 20, line 4 to col. 21,

line 24; Fig. 6; *monitor($time ...)*, *test-bench component (monitor) 906* – Fig. 26,28; *Eval Timer
1004*, Fig. 23).

As per claims 12 and 13, Tseng discloses utilizing set of test data (e.g col. 13, lines 41-

47; *evaluate test-bench components*, steps *333, 337*-Fig. 5; Figs. 29-30) in the execution of the

simulation; but does not specify using test data (re claim 12) in the decomposing step nor does

Tseng specify that (re claim 13) said monitoring includes determining functions that consume a

significant portion of execution timing. But the limitation of using a profile analysis to determine code portions occupying significant execution time prior to optimization has been addressed in claim 10 above in view of Schlansker and Trimberger's teachings. In view of Tseng's (combined with Schlansker/Kolchinsky's) teaching to partition software simulation and hardware simulation (Figs. 29-30) using iterative test-bench components testing as mentioned above, it would have been obvious for one of ordinary skill in the art at the time the invention was made to modify the decomposition step disclosed by Tseng (combined with Schlansker/Kolchinsky) and combine the profiling technique (so suggested by Trimberger) and the use of test data (as taught by Tseng) for detecting the code portion susceptible for further optimizing, or for accelerating in Tseng's (combined with Schlansker/Kolchinsky) method , because this would enhance further the incremental the code optimization or simulation cycle as taught by the combination Tseng (combined with Schlansker/Kolchinsky's teaching).

As per claim 14, Tseng discloses a decomposition step with identifying kernel sections by identifying regular structures (*component type* – col. 17, lines 24-34).

As per claim 15, Tseng discloses identifying kernel sections by identifying sections with a limited number of inputs and outputs by way of basic blocks (e.g. col. 44, lines 55-65; Figs. 17,18) of the hardware model, which are register component types fetched from the component type analysis, i.e. decomposition step (e.g. col. 18, lines 20-41—Note: basic blocks are those whose inputs and outputs connection are in very limited number).

As per claim 16, Tseng further discloses identifying kernel sections by identifying sections with a limited number of branches (e.g. col. 18, lines 20-41; col. 44, lines 55-65 - Note: a skill in the art would view basic blocks as those having limited number of branching)

As per claim 17, Tseng discloses decomposing by identifying sections that are overhead

sections (e.g. software model 215, Fig. 3; col. 16, lines 28-32).

As per claims 31-38, these claims are system claims corresponding to claims 10-18,

respectively, hence, are rejected herein using the corresponding rejections set forth therein,

respectively.

5.   Claims 18, 39, and 40 are rejected under 35 U.S.C. 103(a) as being unpatentable over

Tseng et al., USPN: 6,009,256, in view of Schlansker et al., USPN 6,408,428, and Kolchinsky et

al., USPN: 5,535,406, as applied to claims 1 and 22 above, and further in view of Mirsky et al.,

USPN: 6,457,116 (hereinafter Mirsky).

As per claim 18, Tseng (combined with Schlansker/Kolchinsky) does not disclose that

mapping includes creating microcode. Mirsky, in the method for configuring array of processing

elements in response to state data submitted analogous to the simulation and testing of test-bench

components applied to the circuit of processing elements disclosed by Tseng, discloses the use of

microcode (e.g. col. 10, lines 21-32). In view of the use resource-constrained processing

elements such as chips and DSP as suggested by Tseng (col. 51, lines 27-34) for partitioning, it

would have been obvious for one of ordinary skill in the art at the time the invention was made

to implement microcode to the processing element such as taught by Mirsky to Tseng's

(combined with Schlansker/Kolchinsky) mapping of hardware configuration to the design circuit

for carrying out the simulation process as taught by Tseng. One of ordinary skill in the art would

be motivated to do so because this would alleviate memory storage in small device used as PEs

in the array of Tseng's system (combined with Schlansker/Kolchinsky), and further improve

resource usage and time-efficiency.

As per claim 39, this claim corresponds to claim 18 above, hence, is rejected herein using claim 18 rejection as set forth above.

As per claim 40, Tseng discloses code including context dependent configurations (e.g. *register component 901, clock component 903, Test-bench component 904* – Fig. 28 – Note: all component-related code with configuration for variables are equivalent to context dependency configurations); but Tseng fails to disclose microcode in place of code. Such limitation has been addressed in claim 18 above and is rejected herein with the same ground of rejection set forth therein.

Response to Arguments

6.   Applicant's arguments filed 1/12/2004 have been fully considered but they are mostly moot in view of the new grounds of rejection. Following are the reasons therefor.

The rejection as submitted now addresses the newly added features being the partitioning of kernel sections in an executable code (i.e. the partitioning of VHDL or Verilog specification by Tseng into some form of executable to support the execution of Tseng's hardware accelerators), and the mapping of hardware and code sections. The rejection points out what part is deficient in Tseng's teachings that either Schlansker or Kolchinsky can remedy and as a whole how the combination as set forth in the rejection would fulfill the required features of the claims.

Conclusion

7.   Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tuan A Vu whose telephone number is (703)305-7207. The examiner can normally be reached on 8AM-4:30PM/Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Kakali Chaki can be reached on (703)305-9662.

Any response to this action should be mailed to:

Commissioner of Patents and Trademarks

Washington, D.C. 20231

or faxed to:

(703) 872-9306 (for formal communications intended for entry)

or: (703) 746-8734 (for informal or draft communications, please consult Examiner

before using this number)

Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive,

Arlington. VA. , 22202. 4th Floor(Receptionist).

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

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system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

VAT

February 27, 2004

KAKALI CHAKI
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100